



# State of New Hampshire

## Banking Department

64B Old Suncook Rd  
Concord, NH 03301

Peter C. Hildreth  
Bank Commissioner

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[www.state.nh.us/banking](http://www.state.nh.us/banking)

### FORM 398-A-3 NOTICE FILING FOR SECOND MORTGAGE HOME LOAN BROKER OR HOME LOAN LENDER LICENSE

To qualify to use this form and obtain a second mortgage home loan lender license or a second mortgage broker license by notice filing, an applicant must hold a valid corresponding NH first mortgage license. An applicant must hold a valid NH first mortgage broker license to file this notice to obtain a second mortgage broker license; its business will be limited to brokering activity for second mortgage loans. An applicant must hold a valid NH first mortgage banker or a banker/broker license to file this notice to obtain a second mortgage home loan lender license; it will be authorized for brokering and banking activities for second mortgage loans.

<u>FOR OFFICE USE ONLY</u>	
Ck. #	_____
Amt. \$	_____
Rec'd by	_____ Date _____
*****	
Entered By	_____ Date _____
App. Complete	_____ Date _____
Approved By	_____ Date _____
Pr. Lic. #	_____ Date Mailed _____

#### Application Fees

License type applied for, check one:

- Second Mortgage Home Loan Lender Principal Office (\$250)  
 Second Mortgage Broker Principal Office (\$250)

Each branch office of the applicant located in New Hampshire must be licensed to conduct second mortgage loan activity. Enter the number of NH branch offices: \_\_\_\_\_ NH Branch Offices (\$250 per Office)

Make Check(s) Payable To: "STATE OF NEW HAMPSHIRE"

Complete all items, sign and notarize the affirmation.

Date of this filing: \_\_\_\_\_, 200\_\_

#### NAME AND IDENTIFICATION OF APPLICANT OR LICENSEE

- Legal name of applicant: \_\_\_\_\_  
Trade name, if any: \_\_\_\_\_
- Address of applicant: \_\_\_\_\_  
(Principal Office \$250) (Street) (City) (State) (Zip)  
Mailing address, if different: \_\_\_\_\_  
(Street or PO Box) (City) (State) (Zip)
- Communications: \_\_\_\_\_  
(Tel. no.) (Fax no.) (Cell) (e-mail address)
- Applicant's federal tax ID number: \_\_\_\_\_ Applicant's fiscal year end date \_\_\_\_\_
- To qualify for a second mortgage broker license, enter the applicant's current NH first mortgage broker license number: \_\_\_\_\_; OR

To qualify for a second mortgage home loan lender license, enter the applicant's current NH first mortgage banker or first mortgage banker/broker license number: \_\_\_\_\_.

